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Date of Deposit February 13, 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

PATENT  
Attorney Docket No.: 20949P-000800US  
Client Reference No.: CNI #5

#9  
11017 U.S. PTO  
10/076906  
02/13/02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Richard Meyer et al.

Application No.: Unassigned

Filed: February 12, 2002

For: Failover Processing In A Storage  
System

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

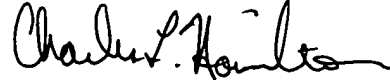
The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-

1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted  
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Respectfully submitted,



Charles Hamilton  
Reg. No. 42,624

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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **1** of **3**

### Complete if Known

Application Number	Unassigned
Filing Date	February 13, 2002
First Named Inventor	Meyer, Richard
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	20949P-000800US

11047 U.S. PTO  
10/076906  
02/13/02

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA					
	AB					
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### FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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	AV							
	AW							
	AX							
	AY							
	AZ							
	BA							

Examiner  
Signature

Date  
Considered

<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>2</sup> Unique citation designation number. <sup>3</sup> See attached Kinds of U.S. Patent Documents. <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>7</sup> Applicant is to place a check mark here if English language Translation is attached.

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		Application Number	Unassigned		
		Filing Date	February 13, 2002		
		First Named Inventor	Meyer, Richard		
		Group Art Unit	Unassigned		
		Examiner Name	Unassigned		
Sheet	2	of	3	Attorney Docket Number	20949P-000800US

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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Examiner Signature		Date Considered	
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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		Application Number	Unassigned
		Filing Date	February 13, 2002
		First Named Inventor	Meyer, Richard
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
Sheet <b>3</b>	of <b>3</b>	Attorney Docket Number	20949P-000800US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BC	Leslie Lamport et al., Reaching Agreement in the Presence of Faults, Journal of the Association for Computing Machinery, Vol. 27, No. 2, April 1980, pgs. 228-234.	
	BD	Leslie Lamport et al., The Byzantine Generals Problem, ACM Transactions on Programming Languages and Systems, Vol. 4, No. 3, July 1982, pgs. 382-401.	
	BE	F.B. Schneider Implementing Fault Tolerant Services Using the State Machine Approach: A Tutorial, ACM Computing Surveys, Vol. 22, No. 4, December 1990, pgs. 299-319.	
	BF		
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	BH		
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